

# ULRICH IDEALS OF DIMENSION ONE

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# §1 Introduction

- In 1987 [Brennan-Herzog-Ulrich]  
... *Maximally Generated Maximal Cohen-Macaulay modules*
- In 2014 [Goto-Ozeki-Takahashi-Watanabe-Yoshida]  
... *Ulrich ideals and modules*
- Recently [Goto-Ozeki-Takahashi-Watanabe-Yoshida]  
... *Ulrich ideals/modules over two-dimensional rational singularities*

## Question 1.1

*How many Ulrich ideals are contained in a given Cohen-Macaulay local ring of dimension one?*

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- 2 Survey on Ulrich ideals
- 3 The Gorenstein case
- 4 Finite Cohen-Macaulay representation type
- 5 The non-Gorenstein case
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# Notation

In what follows, unless other specified, we assume

- 1  $(R, \mathfrak{m})$  a Cohen-Macaulay local ring,  $\dim R = 1$
- 2  $I$  an  $\mathfrak{m}$ -primary ideal of  $R$ ,  $n = \mu_R(I)$
- 3  $I$  contains a parameter ideal  $Q = (a)$  of  $R$  as a reduction
- 4  $e(R)$  the multiplicity of  $R$

## §2 Survey on Ulrich ideals

Based on the paper

[Goto-Ozeki-Takahashi-Watanabe-Yoshida, 2014] *Ulrich ideals and modules*

### Definition 2.1

We say that  $I$  is *an Ulrich ideal of  $R$* , if

- (1)  $I \supsetneq Q$ ,  $I^2 = QI$ , and
- (2)  $I/I^2$  is  $R/I$ -free.

Notice that

- (1)  $\iff \text{gr}_I(R)$  is Cohen-Macaulay ring with  $a(\text{gr}_I(R)) = 0$ .
- Suppose that  $I = \mathfrak{m}$ . Then  
 (1)  $\iff R$  is not a RLR,  $\mu_R(\mathfrak{m}) = e(R)$ .

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- Suppose that  $I = \mathfrak{m}$ . Then
  - (1)  $\iff R$  is not a RLR,  $\mu_R(\mathfrak{m}) = e(R)$ .

## Example 2.2

Let  $A$  be a Cohen-Macaulay local ring with  $\dim R = 1$ ,  $F$  a finitely generated free  $A$ -module. Let

$$R = A \times F, \quad (a, x)(b, y) := (ab, ay + bx)$$

be the idealization of  $F$  over  $A$ . We put

$$I = \mathfrak{p} \times F, \quad Q = \mathfrak{p}R,$$

where  $\mathfrak{p}$  is a parameter ideal of  $A$ . Then  $I$  is an Ulrich ideal of  $R$  with  $\mu_R(I) = \operatorname{rank}_A F + 1$ .



Let  $\mathcal{X}_R$  be the set of **Ulrich ideals** of  $R$ .

### Theorem 2.3

*Suppose that  $R$  is of finite CM-representation type. Then  $\mathcal{X}_R$  is a finite set.*

Let  $\mathcal{X}_R$  be the set of Ulrich ideals of  $R$ .

### Theorem 2.3

*Suppose that  $R$  is of finite CM-representation type. Then  $\mathcal{X}_R$  is a finite set.*

Let

$$R = k[[t^{a_1}, t^{a_2}, \dots, t^{a_\ell}]] \subseteq V = k[[t]]$$

be the numerical semigroup ring over a field  $k$ , where  $0 < a_1, a_2, \dots, a_\ell \in \mathbb{Z}$  such that  $\gcd(a_1, a_2, \dots, a_\ell) = 1$ .

We define

$$o(f) := \max\{n \in \mathbb{Z} \mid f \in t^n V\}$$

for  $0 \neq f \in V$ .

We set

$$\mathcal{X}_R^g = \{\text{Ulrich ideals of } R \text{ generated by } \underline{\text{monomials}} \text{ in } t\}.$$

## Theorem 2.4

*The set  $\mathcal{X}_R^g$  is finite.*

Let

$$R = k[[t^{a_1}, t^{a_2}, \dots, t^{a_\ell}]] \subseteq V = k[[t]]$$

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We continue the researches ([GOTWY]), providing a practical method for counting Ulrich ideals in dimension one.

## Lemma 2.5

Suppose that  $I^2 = QI$ . Then TFAE.

- (1)  $I$  is an *Ulrich ideal* of  $R$ .
- (2)  $I/Q$  is a *free*  $R/I$ -module.

## Proof.

The equivalence of (1) and (2) follows from the *splitting* of the sequence

$$0 \rightarrow Q/QI \rightarrow I/I^2 \rightarrow I/Q \rightarrow 0.$$

When this is the case,  $I/Q \cong (R/I)^{n-1}$ , since  $Q = (a)$  is generated by a part of a minimal basis of  $I$ . □

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Let  $I \in \mathcal{X}_R$ . Look at the isomorphism

$$I/Q \cong (R/I)^{n-1}.$$

Then we have the following. Here  $r(R) = \ell_R(\text{Ext}_R^1(R/\mathfrak{m}, R))$ .

### Corollary 2.6

$$(1) \quad Q : I = I.$$

$$(2) \quad 0 < (n-1) \cdot r(R/I) = r_R(I/Q) \leq r(R/Q) = r(R).$$

Hence  $n \leq r(R) + 1$ .

Therefore, if  $R$  is a Gorenstein ring, then  $R/I$  is Gorenstein,  $n = 2$  and  $I$  is a good ideal in the sense of [2].



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Hence  $n \leq r(R) + 1$ .

Therefore, if  $R$  is a [Gorsenstein ring](#), then  $R/I$  is Gorenstein,  $n = 2$  and  $I$  is a [good ideal](#) in the sense of [2].

Let  $I \in \mathcal{X}_R$ . Let

$$\mathbb{F}_\bullet : \cdots \rightarrow F_i \xrightarrow{\partial_i} F_{i-1} \rightarrow \cdots \rightarrow F_1 \xrightarrow{\partial_1} F_0 \rightarrow R/I \rightarrow 0$$

be a **minimal** free resolution of  $R/I$  and  $\beta_i = \text{rank}_R F_i$  ( $i \geq 0$ ).

## Theorem 2.7

(1)  $R/I \otimes_R \partial_i = 0$  for  $\forall i \geq 1$ .

$$(2) \beta_i = \begin{cases} (n-1)^{i-1} \cdot n & (i \geq 1), \\ 1 & (i = 0). \end{cases}$$

Hence  $\beta_i = \binom{1}{i} + (n-1)\beta_{i-1}$  for  $\forall i \geq 1$ .

Let  $I \in \mathcal{X}_R$ . Let

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Hence  $\beta_i = \binom{1}{i} + (n-1)\beta_{i-1}$  for  $\forall i \geq 1$ .

Look at the exact sequence

$$0 \rightarrow Q \rightarrow I \rightarrow (R/I)^{\oplus(n-1)} \rightarrow 0.$$

### Corollary 2.8

A *minimal* free resolution of  $I$  is obtained by those of  $Q$  and  $(R/I)^{\oplus(n-1)}$ .

### Corollary 2.9

$\mathrm{Syz}_R^{i+1}(R/I) \cong [\mathrm{Syz}_R^i(R/I)]^{\oplus(n-1)}$  for all  $i \geq 1$ . Hence

$$\mathrm{Syz}_R^{i+1}(R/I) \cong \mathrm{Syz}_R^i(R/I)$$

for all  $i \geq 1$ , if  $R$  is a Gorenstein local ring.

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for all  $i \geq 1$ , if  $R$  is a Gorenstein local ring.

## Theorem 2.10

Let  $I, J \in \mathcal{X}_R$ . Then  $I = J$  if and only if

$$\mathrm{Syz}_R^i(R/I) \cong \mathrm{Syz}_R^i(R/J)$$

for *some*  $i \geq 0$ .

## Example 2.11

Let  $I \in \mathcal{X}_R$ . Suppose that  $R$  is a **Gorenstein** local ring with  $\dim R = 1$ . Then  $\mu_R(I) = 2$ . We write

$$I = (a, x) \quad (x \in R)$$

where  $Q = (a)$  is a reduction of  $I$ . Then  $x^2 = ay$  for some  $y \in I$ , since  $I^2 = aI$ . Then

$$\mathbb{F}_\bullet: \cdots \rightarrow R^2 \begin{pmatrix} -x & -y \\ a & x \end{pmatrix} \rightarrow R^2 \begin{pmatrix} -x & -y \\ a & x \end{pmatrix} \rightarrow R^2 \begin{pmatrix} a & x \end{pmatrix} \rightarrow R \xrightarrow{\varepsilon} R/I \rightarrow 0.$$



## §3 The Gorenstein case

In this section, we assume that  $R$  is a Gorenstein ring.

### Definition 3.1 ([2])

We say that  $I$  is a good ideal of  $R$ , if

- (1)  $I^2 = QI$  and
- (2)  $Q : I = I$ .

Notice that

- $I$  is good  $\iff \text{gr}_I(R)$  is Gorenstein with  $\text{a}(\text{gr}_I(R)) = 0$ .

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## Setting 3.2

Let  $\mathcal{V}_R$  be the set of intermediate rings  $R \subsetneq A \subseteq Q(R)$  such that  $A$  is a finitely generated  $R$ -module and put

$$\mathcal{Y}_R = \{I \mid I \text{ is a good ideal of } R\},$$

$$\mathcal{Z}_R = \{A \in \mathcal{V}_R \mid A \text{ is a Gorenstein ring}\}.$$

Hence  $\mathcal{X}_R \subseteq \mathcal{Y}_R$  and  $\mathcal{Z}_R \subseteq \mathcal{V}_R$ .

## Lemma 3.3 (Key Lemma)

There is a well-defined bijective map

$$\varphi : \mathcal{Z}_R \rightarrow \mathcal{Y}_R, \quad A \mapsto R : A.$$

Therefore,  $R : A \in \mathcal{X}_R \iff \mu_R(A) = 2$  for  $A \in \mathcal{Z}_R$ .

## Proof.

Let  $A \in \mathcal{Z}_R$  and put  $J = R : A$ . Then  $J = bA$  for some  $b \in J$ , since  $A$  is a Gorenstein ring and  $J \cong K_A$ . Let  $\mathfrak{q} = bR$ . Then

$$J^2 = \mathfrak{q}J \quad \text{and} \quad \mathfrak{q} : J = R : A = J,$$

so that  $J$  is a **good** ideal of  $R$ . If  $J \in \mathcal{X}_R$ , then  $\mu_R(A) = \mu_R(J) = 2$ . Suppose that  $\mu_R(A) = 2$ . Then  $J/\mathfrak{q}$  is cyclic, since  $\mathfrak{q}$  is a minimal reduction of  $J$ . Hence  $J/\mathfrak{q} \cong R/J$ , because  $\mathfrak{q} : J = J$ . Thus  $J \in \mathcal{X}_R$ .  $\square$

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Therefore,  $R : A \in \mathcal{X}_R \iff \mu_R(A) = 2$  for  $A \in \mathcal{Z}_R$ .

## Proof.

Let  $A \in \mathcal{Z}_R$  and put  $J = R : A$ . Then  $J = bA$  for some  $b \in J$ , since  $A$  is a Gorenstein ring and  $J \cong K_A$ . Let  $\mathfrak{q} = bR$ . Then

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Let  $V = \overline{R}$  be the normalization of  $R$ .

### Corollary 3.4

*Suppose that  $V$  is a DVR and  $V$  is a finitely generated  $R$ -module. Then TFAE.*

- (1)  $e(R) = 2$
- (2)  $R : V$  is an Ulrich ideal of  $R$

### Proof.

Let  $f \in \mathfrak{m}$  such that  $fV = \mathfrak{m}V$ . Then  $fR$  is a reduction of  $\mathfrak{m}$ . Therefore we have

$$e(R) = e_{\mathfrak{m}}^0(R) = e_{fR}^0(R) = e_{fR}^0(V) = \ell_R(V/\mathfrak{m}V) = \mu_R(V).$$



## Example 3.5

Let  $R = k[[t^3, t^4]]$ . Then  $\mathcal{X}_R = \{(t^4, t^6)\}$ .

### Proof.

Let  $A \in \mathcal{Z}_R$ . We may assume that  $R \subsetneq A \subsetneq V = k[[t]]$ . Since  $R$  is Gorenstein,  $t^5 \in A$  which shows  $k[[t^3, t^4, t^5]] \subsetneq A$ . Since  $A \neq V$ , then  $A \subseteq k[[t^2, t^3]]$ , so that

$$k[[t^3, t^4, t^5]] \subsetneq A \subseteq k[[t^2, t^3]].$$

Thus

$$A = k[[t^2, t^3]] = R + Rt^2.$$

Therefore  $R : A = R : t^2 = (t^4, t^6) \in \mathcal{X}_R$ . □

## Example 3.6

Let  $R = k[[t^4, t^5, t^6]]$ . Then  $\mathcal{X}_R = \{(t^4 - ct^5, t^6) \mid c \in k\}$ .

### Proof.

Let  $A \in \mathcal{Z}_R$  such that  $R \subsetneq A \subsetneq V = k[[t]]$ . Then  $t^7 \in A$  and hence

$$k[[t^4, t^5, t^6, t^7]] \subsetneq A \subseteq k[[t^2, t^3]].$$

Since  $k[[t^3, t^4, t^5]]$  is not Gorenstein,  $A \not\subseteq k[[t^3, t^4, t^5]]$ , whence

$$\exists \xi \in A \text{ such that } o(\xi) = 2.$$

We may assume  $\xi = t^2 + ct^3$  where  $c \in k$ . Therefore

$$A = k[[t^2, t^3]] \text{ or } R[\xi].$$



## Proof. (continued)

If  $A = k[[t^2, t^3]]$ , then

$$\mu_R(A) = \ell_R(A/\mathfrak{m}A) = 3,$$

so that  $R : A \notin \mathcal{X}_R$ . Suppose that  $A = R[\xi]$ . Then  $e(A) = 2$  and therefore  $A$  is Gorenstein. Since  $\mathfrak{m}A = t^4V$ , we have

$$\mu_R(A) = \ell_R(V/\mathfrak{m}A) - \ell_R(V/A) = 4 - 2 = 2.$$

Hence  $R : A = R : \xi = (t^4 - ct^5, t^6) \in \mathcal{X}_R$ . □

Therefore

- $\mathcal{X}_R = \{(t^4 - ct^5, t^6) \mid c \in k\} \xrightarrow{1:1} k$
- $\mathcal{X}_R^g = \{(t^4, t^6)\}$

## Proof. (continued)

If  $A = k[[t^2, t^3]]$ , then

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## Example 3.7

- Let  $R = k[[t^3, t^5]]$ . Then  $\mathcal{X}_R = \emptyset$ .
- Let  $R = k[[t^3, t^7]]$ . Then  $\mathcal{X}_R = \{(t^6 - ct^7, t^{10}) \mid 0 \neq c \in k\}$ .
- Let  $R = k[[t^2, t^{2\ell+1}]]$  ( $\ell > 0$ ). Then  $\mathcal{X}_R = \{(t^{2i}, t^{2\ell+1}) \mid 1 \leq i \leq \ell\}$ .

## Theorem 3.8

Let  $R = k[[t^n, t^{n+1}, \dots, t^{2n-2}]]$  ( $n \geq 3$ ). Then

$$\mathcal{X}_R = \begin{cases} \{(t^4, t^6)\} & (n = 3), \\ \{(t^4 - ct^5, t^6) \mid c \in k\} & (n = 4), \\ \emptyset & (n \geq 5). \end{cases}$$

Proof of the case:  $n = 2q + 1$  ( $q \geq 2$ )

Let  $I \in \mathcal{X}_R$  and  $A = \frac{I}{a} \subseteq Q(R)$ . Then

$$t^n V \subseteq k[[t^n, t^{n+1}, \dots, t^{2n-1}]] \subseteq A,$$

since  $t^{2n-1}$  is the generator of the socle of  $Q(R)/R$ . Let

$$C := A : V = t^c V \quad (c \geq 0).$$

Then  $c \leq n = 2q + 1$ . We put  $\ell = \ell_A(V/A)$ . Hence  $2\ell = c$ , since  $A$  is Gorenstein. Thus

$$\ell_A(V/A) \leq q.$$

Look at

$$\bar{A} := A/\mathfrak{m}A \supsetneq J := \mathfrak{m}_{\bar{A}} \supsetneq J^2 = (0).$$

Take  $\xi \in \mathfrak{m}_A$  so that  $J = (\bar{\xi})$ . Then  $\bar{\xi} \neq 0$  and  $\bar{\xi}^2 = 0$  in  $\bar{A}$ .

Proof of the case:  $n = 2q + 1$  ( $q \geq 2$ ) (continue).

Hence

$$\xi^2 \in \mathfrak{m}A \subseteq t^n V \quad \text{and} \quad A = R + R\xi,$$

because  $A/\mathfrak{m}A = k + k\bar{\xi}$ . Therefore  $2 \cdot o(\xi) \geq n = 2q + 1$ , so that

$$o(\xi) \geq q + 1.$$

Thus

$$A = R + R\xi \subseteq T := k[[t^{q+1}, t^{q+2}, \dots, t^{2q+1}]] \subseteq V.$$

Hence  $A = T$ , because

$$\ell_R(V/T) = q \quad \text{and} \quad \ell_A(V/A) \leq q.$$

This is impossible. Thus  $\mathcal{X}_R = \emptyset$ . □

## §4 Finite Cohen-Macaulay representation type

Let  $(R, \mathfrak{m})$  be a one-dimensional Gorenstein complete equi-characteristic local ring with algebraically closed residue class field  $k = R/\mathfrak{m}$ .

Suppose that  $R$  has finite CM-representation type. Then  $R$  is a *simple singularity*, i.e.,

$$R = k[[X, Y]]/(f),$$

where  $f$  is one of the polynomials as follows.

$$(A_n) \quad X^2 - Y^{n+1} \quad (n \geq 1)$$

$$(D_n) \quad X^2Y - Y^{n-1} \quad (n \geq 4)$$

$$(E_6) \quad X^3 - Y^4$$

$$(E_7) \quad X^3 - XY^3$$

$$(E_8) \quad X^3 - Y^5$$

## Type $(A_n)$ : $X^2 - Y^{n+1}$ ( $n \geq 1$ )

### Theorem 4.1

- (1)  $n = 2\ell - 1$  ( $\ell \geq 1, \text{ch } k \neq 2$ )  $\cdots \mathcal{X}_R = \{(x, y^i) \mid 1 \leq i \leq \ell\}$ .
- (2)  $n = 2\ell$  ( $\ell \geq 1$ )  $\cdots \mathcal{X}_R = \{(x, y^i) \mid 1 \leq i \leq \ell\}$ .

### Proof of Theorem 4.1 (1).

Notice that

$$\mathcal{Z}_R = \{R[\frac{x}{y^i}] \mid 1 \leq i \leq \ell\} \text{ and } \mu_R(A) = 2 \text{ for } \forall A \in \mathcal{Z}_R.$$

Therefore  $R : R[\frac{x}{y^i}] = R : \frac{x}{y^i} = (x, y^i) \in \mathcal{X}_R$ . □



## Type $(A_n)$ : $X^2 - Y^{n+1}$ ( $n \geq 1$ )

### Theorem 4.1

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Therefore  $R : R[\frac{x}{y^i}] = R : \frac{x}{y^i} = (x, y^i) \in \mathcal{X}_R$ . □

Type  $(A_n)$  :  $X^2 - Y^{n+1}$  ( $n \geq 1$ )

## Proof of Theorem 4.1 (2).

In this case ( $n = 2\ell$ ,  $\ell \geq 1$ ),

$$R = k[[X, Y]]/(X^2 - Y^{2\ell+1}) \cong k[[t^2, t^{2\ell+1}]].$$

Since

$$\mathcal{X}_{k[[t^2, t^{2\ell+1}]]} = \{(t^{2i}, t^{2\ell+1}) \mid 1 \leq i \leq \ell\},$$

we have

$$\mathcal{X}_R = \{(x, y^i) \mid 1 \leq i \leq \ell\}.$$



Type  $(E_6) : X^3 - Y^4$ ,  $(E_7) : X^3 - XY^3$ ,  $(E_8) : X^3 - Y^5$

## Theorem 4.2

- $(E_6) \quad \mathcal{X}_R = \{(x, y^2)\}$ .
- $(E_7) \quad \mathcal{X}_R = \{(x, y^3)\}$ .
- $(E_8) \quad \mathcal{X}_R = \emptyset$ .

Proof of the cases  $(E_6)$ ,  $(E_8)$ .

- $(E_6) \cdots R = k[[X, Y]]/(X^3 - Y^4) \cong k[[t^3, t^4]]$ .
- $(E_8) \cdots R = k[[X, Y]]/(X^3 - Y^5) \cong k[[t^3, t^5]]$ .

Remember that  $\mathcal{X}_{k[[t^3, t^4]]} = \{(t^4, t^6)\}$  and  $\mathcal{X}_{k[[t^3, t^5]]} = \emptyset$ . □

Type  $(E_6) : X^3 - Y^4$ ,  $(E_7) : X^3 - XY^3$ ,  $(E_8) : X^3 - Y^5$

## Theorem 4.2

- $(E_6) \quad \mathcal{X}_R = \{(x, y^2)\}$ .
- $(E_7) \quad \mathcal{X}_R = \{(x, y^3)\}$ .
- $(E_8) \quad \mathcal{X}_R = \emptyset$ .

## Proof of the cases $(E_6)$ , $(E_8)$ .

- $(E_6) \cdots R = k[[X, Y]]/(X^3 - Y^4) \cong k[[t^3, t^4]]$ .
- $(E_8) \cdots R = k[[X, Y]]/(X^3 - Y^5) \cong k[[t^3, t^5]]$ .

Remember that  $\mathcal{X}_{k[[t^3, t^4]]} = \{(t^4, t^6)\}$  and  $\mathcal{X}_{k[[t^3, t^5]]} = \emptyset$ . □

# Proof of the case $(E_7) : X^3 - XY^3$

Due to [Goto-Takahashi-T, 2015].

## Claim

$$\mathcal{Z}_R = \{k[[Y]] \oplus k[[t^2, t^3]], k[[Y]] \oplus k[[t]], k + J(\overline{R})\}$$

## Sketch of proof.

Let  $f = X^2 - Y^3$ . Let  $\varphi : S = k[[X, Y]] \longrightarrow V = k[[t]]$  be the  $k$ -algebra map such that

$$\varphi(X) = t^3, \quad \varphi(Y) = t^2.$$

Then  $S/(f) \cong k[[t^2, t^3]]$  and we get the following diagram.

Proof of the case  $(E_7) : X^3 - XY^3$ 

$$\begin{array}{ccc}
 0 \longrightarrow S/(X \cdot f) \xrightarrow{\alpha} S/(X) \oplus S/(f) \xrightarrow{\beta} S/(X, f) \longrightarrow 0 \\
 \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \downarrow \text{IR} \\
 \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad k[[Y]] \oplus k[[t^2, t^3]] \\
 \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \cap \\
 \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad k[[Y]] \oplus V = \bar{R} \xrightarrow{p_2} V \\
 \begin{array}{l} j \\ \searrow \end{array}
 \end{array}$$

Let  $A \in \mathcal{Z}_R$ . Consider  $p_2 : \bar{R} \rightarrow V$ ,  $(a, b) \mapsto b$ . We put  $B = p_2(A)$ . Since  $k[[t^2, t^3]] \subseteq B \subseteq V$ , we get

$$B = k[[t^2, t^3]] \text{ or } V.$$

# Proof of the case $(E_7) : X^3 - XY^3$

Case 1 ( $A$  is **not** a local ring.)

$$A = k[[Y]] \oplus B.$$

Case 2 ( $A$  is a local ring.)

- $B = V \cdots A \cong k[[Y, Z]]/(Z(Y - Z^2)) = k + J(\overline{R})$
- $B = k[[t^2, t^3]] \cdots A$  is not a Gorenstein ring.

Hence

$$\mathcal{Z}_R = \{k[[Y]] \oplus k[[t^2, t^3]], k[[Y]] \oplus V, k + J(\overline{R})\}.$$



# Proof of the case $(E_7) : X^3 - XY^3$

Let  $A \in \mathcal{Z}_R$  such that  $\mu_R(A) = 2$ . Then

$$A = k[[Y]] \oplus k[[t^2, t^3]],$$

so that  $\mathcal{X}_R = \{R : A\}$ . Since

$$0 \rightarrow R \rightarrow S/(X) \oplus S/(f) (= A) \rightarrow S/(X, Y^3) \rightarrow 0,$$

we have  $A/R \cong S/(X, Y^3)$ . Thus  $R : A = (x, y^3)$ .

□



# Type $(D_n)$ : $X^2Y - Y^{n-1}$ ( $n \geq 4$ )

## Theorem 4.3

(1)  $n = 2\ell + 1$  ( $\ell \geq 2$ )

$$\cdots \mathcal{X}_R = \{(x^2, y), (x, y^{2\ell-1})\}.$$

(2)  $n = 2\ell$  ( $\ell \geq 2, \text{ch } k \neq 2$ )

$$\cdots \mathcal{X}_R = \{(x^2, y), (x - y^{\ell-1}, y(x + y^{\ell-1})), (x + y^{\ell-1}, y(x - y^{\ell-1}))\}.$$

## Question 4.4

*Is there any relation between Ulrich ideals and representation theory?*

## §5 The non-Gorenstein case

### Theorem 5.1

Let  $(V, \mathfrak{n})$  be a Cohen-Macaulay local ring with  $\dim V = 1$ . Let

$$R = V[Y]/(Y^n) \quad (n \geq 2).$$

Then  $\#\mathcal{X}_R = \infty$ .

## Proof of Theorem 5.1.

Suppose  $n = 2q + 1$  ( $q \geq 1$ ). Let  $a$  be a parameter for  $V$ , and

$$I = I_\ell := (a^{2^\ell} - y, a^\ell y^q) \quad \text{for } \forall \ell > 0,$$

where  $y$  is the image of  $Y$  in  $R$ . Then

$$I^2 = (a^{2^\ell} - y)I,$$

while  $R/(a^{2^\ell} - y) \cong V/(a^{2^{\ell n}})$  and  $R/I \cong V/(a^{\ell n})$ . Hence

$$\ell_R(I/(a^{2^\ell} - y)) = \ell_R(R/I) = \ell \cdot n \cdot e(V).$$

Therefore  $I/(a^{2^\ell} - y) \cong R/I$ , so that  $I_\ell = I \in \mathcal{X}_R$ . Thus  $\#\mathcal{X}_R = \infty$ .

For the case  $n = 2q$  ( $q \geq 1$ ), consider  $I = I_\ell := (a^\ell, y^q)$ .



## Theorem 5.2

Suppose that  $R = \widehat{R}$  and  $R$  is a reduced ring. If

$$\mathfrak{m}\overline{R} \subseteq R \text{ and } R \neq \text{a RLR},$$

then  $\mathcal{X}_R = \{\mathfrak{m}\}$ .

### Proof.

The ring  $\overline{R}$  is a finitely generated  $R$ -module and  $\mathfrak{m}\overline{R} = \mathfrak{m}$ . Take  $a \in \mathfrak{m}$  so that  $\mathfrak{m} = a\overline{R}$ . Then  $\mathfrak{m}^2 = a\mathfrak{m}$  and  $\mu_R(\mathfrak{m}) > 1$ . Thus  $\mathfrak{m} \in \mathcal{X}_R$ .

Conversely, let  $I \in \mathcal{X}_R$  and choose a reduction  $Q = (a)$  of  $I$ . Then  $\mathfrak{m}\frac{I}{a} \subseteq R$ , since  $\frac{I}{a} \subseteq \overline{R}$ . Hence  $\mathfrak{m}I \subseteq Q$ . Therefore  $I = \mathfrak{m}$ , since  $I/Q$  is  $R/I$ -free. Thus  $\mathcal{X}_R = \{\mathfrak{m}\}$ . □

## Theorem 5.2

Suppose that  $R = \widehat{R}$  and  $R$  is a reduced ring. If

$$\mathfrak{m}\overline{R} \subseteq R \text{ and } R \neq a \text{ RLR},$$

then  $\mathcal{X}_R = \{\mathfrak{m}\}$ .

## Proof.

The ring  $\overline{R}$  is a finitely generated  $R$ -module and  $\mathfrak{m}\overline{R} = \mathfrak{m}$ . Take  $a \in \mathfrak{m}$  so that  $\mathfrak{m} = a\overline{R}$ . Then  $\mathfrak{m}^2 = a\mathfrak{m}$  and  $\mu_R(\mathfrak{m}) > 1$ . Thus  $\mathfrak{m} \in \mathcal{X}_R$ .

Conversely, let  $I \in \mathcal{X}_R$  and choose a reduction  $Q = (a)$  of  $I$ . Then  $\mathfrak{m}\frac{I}{a} \subseteq R$ , since  $\frac{I}{a} \subseteq \overline{R}$ . Hence  $\mathfrak{m}I \subseteq Q$ . Therefore  $I = \mathfrak{m}$ , since  $I/Q$  is  $R/I$ -free. Thus  $\mathcal{X}_R = \{\mathfrak{m}\}$ . □

## Corollary 5.3

Let  $n \geq 2$  and  $R = k[[t^n, t^{n+1}, \dots, t^{2n-1}]]$ . Then  $\mathcal{X}_R = \{\mathfrak{m}\}$ .

## Corollary 5.4

Let  $(S, \mathfrak{n})$  be a RLR with  $\dim S = n \geq 2$ . Let  $\mathfrak{n} = (X_1, X_2, \dots, X_n)$  and put

$$R = S / \bigcap_{i=1}^n (X_j \mid j \neq i).$$

Then  $\mathcal{X}_R = \{\mathfrak{m}\}$ .

## Corollary 5.5

Let  $K/k$  ( $K \neq k$ ) be a finite extension of fields. We put

$$V = K[[t]] \quad \text{and} \quad R = k[[tK]].$$

Then  $\mathcal{X}_R = \{tV\}$ .



## §6 Value semigroups

Let  $V = k[[t]]$ .

### Example 6.1

- (1) Let  $f, g \in V$  such that  $\text{o}(f) = 3, \text{o}(g) = 4$ . We put  $R = k[[f, g]]$ .  
Then  $\mathcal{X}_R = \{(g, f^2)\}$ .
- (2) Let  $f, g \in V$  such that  $\text{o}(f) = 3, \text{o}(g) = 5$ . We put  $R = k[[f, g]]$ .  
Then  $\mathcal{X}_R = \emptyset$ .
- (3) Let  $R = k[[f_5, f_6, f_7, f_8]]$ , where  $f_i \in V$  such that  $\text{o}(f_i) = i$  for  $5 \leq \forall i \leq 8$ . Then  $\mathcal{X}_R = \emptyset$ .

Thank you very much for your attention.

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